



AUDIT COMMITTEE REPORT

Report Title	Internal audit progress report
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AGENDA STATUS: PUBLIC

Audit Committee Meeting Date:	27 June 2011
Policy Document:	NO
Directorate:	Finance and Support
Accountable Cabinet Member:	Councillor Alan Bottwood

1. Purpose

1.1 To provide the Audit Committee with a report summarising progress made against the approved internal audit plan for 2011/12 and provide the draft annual audit report for 2010/11.

2. Recommendations

- 2.1 Receive the report; and
- 2.2 Consider the draft annual audit report for 2010/11.

3. Issues and Choices

3.1 Report Background

3.1.1 Introduction

The report is produced to inform the Committee on internal audit activity in the current year up to the date of the Committee meeting.

3.1.2 2010/11 Teamcentral Report

We have included a report in Appendix 1 detailing progress against recommendations raised within our 2010/11 audit work.

3.1.3 2011/12 Plan Outturn

We have undertaken work in accordance with the 2011/12 Internal Audit Plan which was presented to Audit Committee at its meeting in March 2011.

We have bought forward our review of Procurement from quarter 2 (July-September) to quarter 1 (April – June) to replace two smaller reviews which will now be conducted later in the year. These reviews are:

- Treasury Management – Postponed to quarter 2 as the finance team are very busy with year end
- Community Asset Transfers – Postponed as the scheme is in the early stages and audit work would be more worthwhile later in the year.

We have completed fieldwork for the following reviews and will issue draft reports shortly:

- Procurement
- Void management.

We are currently conducting a review of Recruitment and we are liaising with the Director of Environment and Culture in order to arrange our contract review of Environmental Services.

3.1.4 Internal Audit Draft Annual Report

Included in Appendix 2 is the 2010/11 draft annual audit report. This is presented for consideration by the Audit Committee.

3.2 Issues

3.2.1 As detailed in the report

3.3 Choices (Options)

3.3.1 N/a

4. Implications (including financial implications)

4.1 Policy

4.1.1 No implications other than enabling monitoring of internal audit reporting performance.

4.2 Resources and Risk

4.2.1 Risks may be highlighted as a result of audit issues being reported.

4.3 Legal

4.3.1 N/a

4.4 Equality

4.4.1 N/a

4.5 Consultees (Internal and External)

4.5.1 Director of Finance and Support and Head of Finance.

4.6 Other Implications

4.6.1 N/a

5. Background Papers

5.1 Appendices to the report

- Appendix 1 – TeamCentral report summary
- Appendix 2 – Draft Internal Audit Risk Assessment and Plan 2011/12

Other individual internal audit reports are available if required.

Chris Dickens
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